

Docket No.: E3331.0217
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Gregory Mills et al.

Application No.: 09/786,736

Confirmation No.: 4260

Filed: June 18, 2001

Art Unit: 3692

For: COMMUNICATION OF CREDIT FILTERED
PRICES IN AN ELECTRONIC BROKERAGE
SYSTEM

Examiner: Frantzy Poinvil

AMENDMENT/SUBMISSION AND REQUEST FOR INTERVIEW

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

After entry of the Amendment in Response to Final Office Action filed on October 5, 2007, please further amend the above-identified U.S. patent application as follows before issuance of the next Office Action:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 15 of this paper.